



SECTOR 4

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of ) Group Art Unit: 2714  
HIDEAKI SHINOTSUKA ) Examiner: Not Assigned  
Appln. No. 09/040,560 )  
Filed: March 18, 1998 )  
For: INFORMATION SIGNAL )  
TRANSMISSION DEVICE )  
\_\_\_\_\_  
2001 Ferry Building  
San Francisco, CA 94111  
415/433-4150

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231, on July 21, 1998.

LIMBACH & LIMBACH L.L.P.

Date: July 21, 1998

By: Karyn Battenberg  
Name: Karyn E. Battenberg

Attn: Box Missing Parts  
Assistant Commissioner  
for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application mailed April 22, 1998 (copy enclosed), applicant submits the enclosed Combined Declaration for Patent Application and Power of Attorney. Also enclosed for filing are fifteen (15) sheets of formal drawings.

A check in the amount of \$130.00 is enclosed herewith to cover the \$130.00 surcharge for filing missing parts of an application. Please charge the additional \$110.00 for a one-month request for extension of time to deposit account No. 12-1420.

Applicant hereby petitions for a one-month request for extension of time to answer the response to the Notice to File Missing Parts of Application mailed April 22, 1998.

The Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No. 12-1420. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

LIMBACH & LIMBACH L.L.P.

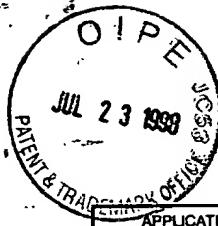
07/28/1998 KDUNCAN 00000028 121420 09040560

02 FC:115 110.00 CH  
July 21, 1998  
(Date)

SONY-8400

By:

Charles P. Sammut  
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Attorneys for Applicant(s)



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST-NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/040,560 03/18/98 SHINOTSUKA

H SONY-8400

0292/0422

CHARLES P. SAMMUT  
LIMBACH AND LIMBACH  
2001 FERRY BUILDING  
SAN FRANCISCO CA 94111-4262

NOT ASSIGNED

2714

DATE MAILED:

04/22/98

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
*Filing Date Granted*

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of  \$65.00 for a small entity in compliance with 37 CFR 1.27, or  \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

*If all required items on this form are filed within the period set above, the total amount owed by applicant as a*

**small entity (statement filed)**,  **non-small entity** is \$ 130.

1. The statutory basic filing fee is:  
 missing.  
 insufficient.

*Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*

2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for \_\_\_\_\_ dependent claims over 20.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

*Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.*

3. The oath or declaration:  
 is missing or unexecuted.  
 does not cover the newly submitted items.  
 does not identify the application to which it applies.  
 does not include the city and state or foreign country of applicant's residence.

*An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.*

4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*

5. The signature of the following joint inventor(s) is missing from the oath or declaration:  
07/26/1998 KUONCHI 00000028 121420 09040560

01. FC:105 130.00  An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

7. Your filing receipt was mailed in error because your check was returned without payment.

8. The application does not comply with the Sequence Rules.  
*See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."*

9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

*D. Durban*  
**A copy of this notice MUST be returned with the reply.**

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE